Q3500.015334.

## **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:	)	
, <del>-                              </del>	:	Examiner: Kabari Guharay
KAZUYA ISHIWATA ET AL.	)	
	:	Group Art Unit: 2879
Application No.: 09/846,364		
	:	
Filed: May 2, 2001	)	
	:	
For: A SUBSTRATE STRUCTURE	)	
WHICH IS A PRECURSOR TO AN	:	
ELECTRON SOURCE, AND	)	
HAVING A SUBSTRATE AND AN	:	
INSULATING MATERIAL LAYER	)	
WITH METAL OXIDE PARTICLES	:	
(As Amended)	)	March 1, 2004
Mail Stop RCE		

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 1, 2004.

(Date of Deposit)

FRANK A. DeLUCIA (REG. NO. 42,476)

Name of Attorney for Applicant)

March 1, 2004

Date of Signature

directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed, as is a copy of a Japanese Official Letter citing some of them, issued in a foreign counterpart application.

For the concise explanation of relevance for non-English document,
3135118, the Examiner is respectfully referred counterpart European Patent Application EP
1 003 197 A2.

For the concise explanation of relevance for non-English document 2630988, the Examiner is respectfully referred to counterpart U.S. Patent 4,954,744.

For the concise explanation of relevance for non-English document 1-298624, the Examiner is respectfully referred to the English Abstract attached thereto and to counterpart U.S. Patent 4,954,744.

For the concise explanation of relevance of non-English document 2646326 (no copy presently available), the Examiner is respectfully referred to counterpart U.S. Patent 5,286,503.

For the concise explanation of relevance for the remaining non-English documents, the Examiner is referred to the corresponding English Abstracts attached thereto.

## **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants

Registration No.

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FORM PTO 1449 (modified)			ATTY DOCKET NO.	APPLICATION NO.				
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OF THE		03500.015334.		09/846,364				
LIST OF REFERENCES CITED BY APPLICANT(S)		KAZUYA ISHIWATA ET AL.						
(Use several sheets if hecessary)  Date Submitted to PTO:[DATE]  MAR 0 4 2004		FILING DATE		GROUP				
Date Submitted to PTO:[DATE] MAR U ZUU			U.S. PATENT DOCUMENTS		2879			
157/11/11/15	( )	dis		-		<u> </u>		
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	4,954,744	9/90	Suzuki et al.	313	336			
	5,286,503	2/94	Kupcikevicius	426	110			
		-						
FOREIGN PATENT DOCUMENTS								
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
	3135118	8/00	JAPAN					
	EP 1 003 197 A2	5/00	EPO					
	2630988	12/89	JAPAN					
·	2630983	11/89	JAPAN			ABSTRACT		
	2000-243225	9/00	JAPAN			ABSTRACT		
	2000-82384	3/00	JAPAN			ABSTRACT		
	1-279540	11/89	JAPAN			ABSTRACT		
	1-279538	11/89	JAPAN			ABSTRACT		
	2646326	8/97	JAPAN					
	1-298624	12/89	JAPAN			ABSTRACT		
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER	·		DATE CONSIDERED					

Sheet\_ 1 of \_1\_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.